# UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 2

IN THE MATTER OF:  Castern Alloys, Inc.  7 Henry Henning Drive  Maybrook, New York 12543  )	CONSENT AGREEMENT AND FINAL ORDER  Under Section 325 of the Emergency Planning and Community Right to	2009 DEC 11 PN 12: 21	NOTECTION ASCROY-RES.L.
Respondent. )	Know Act ("EPCRA"), 42 U.S.C. § 11045		

#### I. PRELIMINARY STATEMENT

Complainant, the United States Environmental Protection Agency ("EPA"), Region 2 and Respondent, Eastern Alloys, Inc., by their undersigned representatives, hereby consent and agree as follows:

- 1. EPA initiated this proceeding for the assessment of civil penalties pursuant to Section 325 of the Emergency Planning and Community Right to Know Act ("EPCRA"), 42 U.S.C. § 11045, by issuing an Administrative Complaint, Docket No. EPCRA-02-2009-4002 (hereinafter, the "Complaint"), against Respondent on September 29, 2009.
- 2. Respondent is the owner and/or operator of a facility located at 7 Henry Henning Drive, Maybrook, New York 12543 (hereinafter, the "Facility").
- 3. The Complaint charged Respondent with the following counts:
  - a. one count for violation of EPCRA § 312 for Respondent's failure to file a Tier I/Tier II form for the year 2007 by March 1, 2008 with the Local Emergency Planning Committee ("LEPC"), State Emergency Response Commission ("SERC"), and/or the local fire department; and
  - b. one count for violation of EPCRA § 312 for Respondent's failure to file a Tier I/Tier II form for the year 2008 by March 1, 2009 with the LEPC, SERC, and/or the local fire department.
- 4. Respondent hereby certifies that it has fulfilled all reporting obligations for the violations cited in the Complaint. Respondent also hereby certifies that it is in compliance with all relevant reporting requirements of EPCRA Section 312, 42 U.S.C. § 11022, with respect to its Facility.
- 5. Respondent admits the jurisdictional allegations set forth in the Complaint.

- 6. Pursuant to Section 325 of EPCRA, and taking into consideration the nature of the violations and other mitigating factors, EPA has determined that an appropriate civil penalty to settle this action is thirteen thousand three hundred dollars (\$13,300).
- 7. Respondent consents to the issuance of this Consent Agreement and the Final Order and consents for the purposes of settlement to the assessment of the civil penalty cited in the foregoing paragraph.
- 8. Respondent agrees to pay thirteen thousand three hundred dollars (\$13,300) as a civil penalty for the counts alleged in the Complaint and set forth in Paragraph 3, above, by cashier's or certified check payable to the "Treasurer, United States of America." The check shall be identified with a notation of the name and docket number of this case (set forth in the caption on the first page of this document) and shall be sent to:

US Environmental Protection Agency Fines and Penalties Cincinnati Finance Center P.O. Box 979077 St. Louis, MO 63197-9000

When the Payment is made, Respondent shall simultaneously furnish proof that such Payment has been made to:

Carol Y. Berns
Assistant Regional Counsel
U.S. Environmental Protection Agency
290 Broadway, 17th Floor
New York, NY 10007

and

Regional Hearing Clerk
U.S. Environmental Protection Agency, Region 2
290 Broadway, 16th Floor
New York, NY 10007.

Payment must be received on or before thirty (30) calendar days after the date of signature of the Final Order at the end of this document (the date by which payment must be received shall hereafter be referred to as the "due date").

a) Failure to pay the penalty in full according to the above provisions may result in the referral of this matter to the U.S. Department of Justice or the U.S. Department of the Treasury for appropriate enforcement, including collection of the amount set forth in this Paragraph, plus allowable interest and such other penalties as provided for in this Consent Agreement.

- b) Further, if payment is not received on or before the due date, Respondent agrees to the assessment of interest, at the annual rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717, on the overdue amount from the due date through the date of payment. In addition, Respondent agrees to pay a late payment handling charge of \$15 for each thirty (30) day period (or any portion thereof) following the due date in which the balance remains unpaid.
- c) Respondent agrees that a 6% per annum penalty will also be applied on any principal amount not paid within ninety (90) days of the due date.
- 9. The penalties specified in Paragraph 8, above, shall represent civil penalties assessed by EPA and shall not be deductible for purposes of State or Federal taxes.

#### **II. GENERAL PROVISIONS**

- 10. Respondent neither admits nor denies the factual allegations contained in the Complaint. Respondent waives any right to contest the allegations contained in the Complaint and/or to appeal the Final Order accompanying this Consent Agreement.
- 11. Respondent waives any right it may have pursuant to 40 C.F.R. § 22.8 to be present during discussions between EPA staff and the Regional Administrator or Deputy Regional Administrator of EPA Region 2, or their delegatee, and further waives the right to be served with and to reply to any memorandum or communication addressed by EPA staff to the Regional Administrator or Deputy Regional Administrator, or their delegatee, where the purpose of such discussion, memorandum, or communication is to discuss a proposed settlement of this matter or to recommend that such official accept this Consent Agreement and issue the accompanying Final Order.
- 12. This Consent Agreement and the Final Order shall not relieve Respondent of its obligation to comply with all applicable provisions of federal, State, or local law, nor shall it be construed to be a ruling on, or determination of, any issue related to any federal, State or local permit. Nothing in this Consent Agreement and the Final Order is intended to nor shall be construed to operate in any way to resolve any criminal liability of Respondent. Compliance with this Consent Agreement and the Final Order shall not be a defense to any actions subsequently commenced pursuant to federal laws and regulations administered by EPA, and it is the responsibility of Respondent to comply with such laws and regulations.
- 13. Each party to this action shall bear its own costs and attorneys' fees.
- 14. Full and complete satisfaction of the requirements of this Consent Agreement and Final Order shall resolve Respondent's liability for Federal civil penalties only for the violations alleged in the Complaint.

- 15. The person signing below on behalf of Respondent hereby certifies that he or she is fully authorized by Respondent to execute this Consent Agreement on behalf of Respondent and to legally bind Respondent to this Consent Agreement in accordance with all of the terms and conditions contained herein.
- 16. The Director of the Emergency and Remedial Response Division of EPA Region 2 has been delegated the authority to sign the Consent Agreement in this action, and the Regional Judicial Officer of EPA Region 2 has been delegated the authority to sign the Final Order in this action.
- 17. Respondent consents to service upon Respondent of a copy of this Consent Agreement and Final Order by any EPA employee, in lieu of service made by the EPA Region 2 Regional Hearing Clerk.

Consent Agreement in the Matter of Eastern Alloys, Inc., Docket No. EPCRA-02-2009-4002

For Respondent Eastern Alloys, Inc.:

Signature

Date: 12/7/09

JOHN MALMERBEN

Name (Printed or Typed)

VP MANUFACTURING Quality
Title (Printed or Typed)

Date: 12/8/09

Consent Agreement In the Matter of Eastern Alloys, Inc., Docket No. EPCRA-02-2009-4002

For Complainant

U.S. Environmental Protection Agency:

Walter Mygdan, Director

Entergency and Remedial Response

Division, Region 2

In the Matter of Eastern Alloys, Inc., Docket No. EPCRA-02-2009-4002

### FINAL ORDER

As Regional Judicial Officer of the EPA, Region 2, I ratify the foregoing Consent Agreement in the Matter of Eastern Alloys, Inc., Docket No. EPCRA-02-2009-4002. The Consent Agreement, signed on behalf of Respondent Eastern Alloys, Inc. and EPA, is hereby approved, incorporated herein, and issued, as a Final Order. The effective date of this Final Order shall be the date of filing with the Regional Hearing Clerk, U.S. EPA, Region 2, New York, NY.

Dec 8, 2009

Date

Helen Ferrara

Regional Judicial Officer

U.S. EPA, Region 2

## UNITED STATES ENVIRONMENTAL PROTECTION AGENCY **REGION 2**

Respondent.	) x
Maybrook, New York 12543	) and Community Right to Know Act ) ("EPCRA"), 42 U.S.C. § 11045
Eastern Alloys, Inc. 7 Henry Henning Drive	) Consent Agreement and Final Order Under ) Section 325 of the Emergency Planning
IN THE MATTER OF:	x ) ) Docket No. EPCRA-02-2009-4002

AGREEMENT and FINAL ORDER, bearing Docket Number EPCRA-02-2009-4002, in the

Original and One Copy by hand delivery to:

Karen Maples Regional Hearing Clerk U.S. Environmental Protection Agency, Region 2 290 Broadway, 16th floor New York, New York 10007-1866

following manner to the respective addressees listed below:

Copy by certified mail to:

Kevin Young, Esq. Counsel for Respondent Young/Sommer LLC **Executive Woods** Five Palisades Drive Albany, NY 12205

Date:

Name:

December 11,2009 Carol Y. Berns Attorney for Complainant

Title: